PWM
PETERSON WEALTH MANAGEMENT

PRIVACY NOTICE

Maintaining the trust and confidence of our clients is a high priority. That is why we want you to understand how we protect your privacy when we collect and use information about you, and the steps that we take to safeguard that information. This notice is provided to you on behalf of Peterson Wealth Management, LLC ("PWM").

Information We Collect: In connection with providing investment products, financial advice, or other services, we obtain non-public personal information about you, including:

- Information we receive from you on account applications, such as your address, date of birth, Social Security Number, occupation, financial goals, assets and income;
- Information about your transactions with us, our affiliates, or others;
- Information about your visit to our website. We store that information in web server logs, which are records of the activities on our sites. The servers automatically capture and save the information electronically. The information we collect in web server logs helps us administer the site, analyze its usage, protect the website and its content from inappropriate use and improve the user's experience.
- Information received from custodians, broker-dealers, or other financial institutions.
- Information received from credit or service bureaus or other third parties, such as your credit history or employment status.

Categories of Information We Disclose: We may only disclose information that we collect in accordance with this policy. PWM does not sell customer lists and will not sell your name to telemarketers.

Categories of Parties to Whom We Disclose: We will not disclose information regarding you or your account at PWM, except under the following circumstances:

- To entities that perform services for us or function on our behalf, including financial service providers, such as a clearing broker-dealer, investment company, or insurance company;
- To comply with broker-dealer firms that have regulatory requirements to supervise certain representatives' activities;
- To consumer reporting agencies,
- To third parties who perform services or marketing, client resource management or other parties to help manage your account on our behalf;
- To your attorney, trustee or anyone else who represents you in a fiduciary capacity;
- To our attorneys, accountants or auditors; and
- To government entities or other third parties in response to subpoenas or other legal process as required by law or to comply with regulatory inquiries.

How We Use Information: Information may be used among companies that perform support services for us, such as data processors, client relationship management technology, technical systems consultants and programmers, or companies that help us market products and services to you for a number of purposes, such as:

- **To protect your accounts/non-public information** from unauthorized access or identity theft;
- **To process your requests** such as securities purchases and sales;
- **To establish or maintain an account with an unaffiliated third party**, such as a clearing broker-dealer providing services to you and/or PWM;
- **To service your accounts,** such as by issuing checks and account statements;

- **To comply** with Federal, State, and Self-Regulatory Organization requirements;
- **To keep you informed** about financial services of interest to you.

Regulation S-ID: Regulation S-ID requires our firm to have an Identity Theft Protection Program (ITPP) that controls reasonably foreseeable risks to customers or to the safety and soundness of our firm from identity theft. We have developed an ITPP to adequately identify and detect potential red-flags to prevent and mitigate identity theft.

Our Security Policy: We restrict access to nonpublic personal information about you to those individuals who need to know that information to provide products or services to you and perform their respective duties. We maintain physical, electronic, and procedural security measures to safeguard confidential client information.

Cyber Security: Internal policies and procedures are in place to address cyber security. A copy of this policy is available upon request.

Succession Planning: In the event that the owner(s) of PWM retire, become incapacitated or perish unexpectedly, PWM may disclose limited non-public personal information to a successor adviser, legal representative, or other service provider solely for purposes of evaluating and implementing a business succession plan. Any such disclosure would be subject to confidentiality obligations and applicable privacy and information-security requirements.

A proposed change in control would require your consent per your advisory agreement; services would continue only upon receipt of such consent.

Your Right to Opt Out: Federal privacy laws give you the right to restrict some sharing of your personal financial information.

These laws are designed to protect your privacy while allowing PWM to share information as needed to conduct normal business operations.

You have the right to limit the sharing of your personal financial information with:

- Affiliates – companies related to PWM by common ownership or control; and
- Non-affiliates – companies that are not related to PWM.

If you choose to opt out, PWM will share your personal financial information in certain circumstances, including: (1) Information about you to firms that help promote and market the company's own products or products offered under a joint agreement between two financial companies; (2) Records of your transactions such as you loan payments, credit card or debit purchases, and checking and savings account statements to firms that provide data processing and mailing services for your company; (3) Information about you in response to a court order; and (4) Your payment history on loans and credit cards to credit bureaus.

We are permitted by law to disclose the non public personal information about you to governmental agencies and other third parties in certain circumstances (such as third parties that perform administrative or marketing services on our behalf or for joint marketing programs). These third parties are prohibited to use or share the information for any other purpose.

You may opt out of the disclosure of nonpublic personal information to non-affiliates by contacting Peterson Wealth Management LLC at (775) 673-1100.

Closed or Inactive Accounts: If you decide to close your account(s) or become an inactive customer, our Privacy Policy will continue to apply to you.

Complaint Notification: Please direct complaints to: Jess Peterson at Peterson Wealth Management, LLC, 2255 Green Vista Drive, Suite 403, Sparks, NV 89431; 775-673-1100.

Changes to This Privacy Policy: If we make any substantial changes in the way we use or disseminate confidential information, we will notify you. As of August 2, 2024, Regulation S-P now allows firms to rely on the FAST Act exception (no annual delivery if policies have not changed and no non-exempt sharing occurs). If you have any questions concerning this Privacy Policy, please contact us at: Peterson Wealth Management, LLC, 2255 Green Vista Drive, Suite 403, Sparks, NV 89431; (775) 673-1100.